

## QUESTIONNAIRE FOR POLITICALLY EXPOSED PERSONS

When establishing a business relationship or carrying out transactions, the investment company is required to apply a procedure that determines whether the party is a politically exposed person, in accordance with the requirement to conduct an in-depth analysis from the Law on Prevention of Money Laundering and Financing of Terrorism (AML). A politically exposed person is any natural person who acts or in the last 12 months has acted in a prominent public office in a member state of the European Union or a third country, including members of his immediate family or close associates:

- Members of the immediate family are: spouse or a person with whom the person is politically exposed in an extramarital union, children and their spouses or persons with whom the children are politically exposed in an extramarital union, parents of a politically exposed person
- A close associate of a politically exposed person is any natural person who is known to have joint actual ownership of a legal entity or legal arrangement or any other close business relationship with a politically exposed person or who is the sole beneficial owner of a legal entity or legal arrangement for which known to have been established for the welfare of politically exposed persons.

Regarding the implementation and compliance with the provisions of the AML, please choose the offered answers:

- I am acting in a public capacity       I am a family member of a prominent public official       I am a close associate of a person in prominent public office

1	Are you the president of the state, prime minister, minister and their deputy, state secretary and assistant minister?	
2	Are you an elected member of legislative bodies?	
3	Are you a member of the administrative bodies of political parties?	
4	Are you a judge of the supreme or constitutional court or another high judicial official against whose decisions, except in exceptional cases, it is not possible to use legal remedies?	
5	Are you a judge of audit courts?	
6	Are you a member of the Central Bank Council?	
7	Are you an ambassador, chargé d'affaires or a senior officer of the armed forces?	
8	Are you a member of the administrative or supervisory boards of legal entities that are owned or majority owned by the state?	
9	Are you a director, deputy director, board member and a person who performs equivalent functions in an international organization?	
10	Are the municipal head, mayor, prefect and their deputies elected on the basis of the law governing local elections in the Republic of Croatia?	

### Sources of assets and funds:

- salary       savings       sale of financial assets       sale of real estate  
 compensation/ court ruling       capital return from abroad       inheritance/ donation  
 other (specify - explain) \_\_\_\_\_

**I confirm the accuracy and truthfulness of the data with my handwritten signature!**

\_\_\_\_\_  
(First and Last name of the person to whom the data refers, and OIB)

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

**Member of the board of the Investment Company that agreed to the establishment of a business relationship**

\_\_\_\_\_  
(First and Last name of board member)

\_\_\_\_\_  
(Board member signature)

Date: \_\_\_\_\_