



7. Monthly income (average): A. do 1.500,00 EUR B. from 1.500,00 to 3.000,00 EUR C. more than 3.000,00 EUR

8. Funds for investment are from: A. Income B. Savings C. Inheritance D. Other \_\_\_\_\_

9. Do you establish business relationship on behalf of someone else? A. YES B. NO

If establishing on behalf of another person, please make available all information about the person on whose behalf the business relationship is established. (Information under I. Personal data and II. Additional information).

10. Are you politically exposed person? A. YES B. NO

(For non-resident-foreigners: if the answer is YES, you need to fill out a questionnaire for the politically exposed persons)

### III. CLIENT CLASSIFICATION - INVESTMENT PROFILE - ASSESSMENT OF APPROPRIATENESS

1. Have you in the relevant capital market made an average of 10 transactions of significant value within each quarter of a year back (minimum 70.000,00 EUR per transaction)? YES NO
2. Is your financial instruments portfolio greater than 500.000,00 EUR ? YES NO
3. Have you worked or are you working in the financial sector for at least a year in jobs that require knowledge of the services and planned transactions? YES NO

In accordance with Article 100 of the Capital Market Act and the Ordinance on the Classification of Clients, investment company HITA-VRIJEDNOSNICE Inc has classified the client into the category:

**Retail Client** Professional Client (filled out by employee of investment company)

4. Indicate what services of investment company you know: (mark one or more)

- Orders execution  Portfolio management  Margin account trading  Investment counseling
- Custody  Investment research and financial analysis  None of the above

5. Specify financial instruments you traded with:

Financial instruments	Amount (in EUR per year)	Frequency of transactions annually (No.)	Years of trading experience	Knowledge from 1-5
Stocks, bonds, shares in the funds, instruments of money market.	<input type="checkbox"/> 0 <input type="checkbox"/> to 70.000 <input type="checkbox"/> above 70.000	<input type="checkbox"/> Never <input type="checkbox"/> 1-5 <input type="checkbox"/> 6 and more	<input type="checkbox"/> None <input type="checkbox"/> 1-3 <input type="checkbox"/> 3 and more	
Complex financial instruments (certificates, derivatives, options, futures, swaps, forward rate agreements, CFD-s, warrants, ETF-s ...)	<input type="checkbox"/> 0 <input type="checkbox"/> to 70.000 <input type="checkbox"/> above 70.000	<input type="checkbox"/> Never <input type="checkbox"/> 1-5 <input type="checkbox"/> 6 and more	<input type="checkbox"/> None <input type="checkbox"/> 1-3 <input type="checkbox"/> 3 and more	

6. What level of risk and target duration of investments are most acceptable to you: (mark only one)

- Low – I am a conservative investor, I prefer safe and long investments (over 5 years)
- Medium – I am moderate investor in the medium term (1-5 years), I'm willing to risk part of the capital invested
- High – I am speculative investor in the short term (under 1 year), I am willing to risk all the capital invested

### IMPORTANT NOTES AND WARNINGS

If the client does not want to give enough information HITA-VRIJEDNOSNICE Inc. is not able to determine whether a particular investment service or products are suitable for the client.

If HITA VRIJEDNOSNIC Inc. doesn't collect enough information about the client required for assessment of appropriateness or after assessment determines that the client does not have the knowledge and experience necessary for trading complex financial instruments, the client will be sent a written warning, and will not be allowed to trade them.

If the client wants to trade with complex financial instruments despite of the warning the Client is required to sign a statement confirming that he/she was warned that trading with complex financial instruments is not suitable for the Client, and that the Client assumes all the risks of trading with the complex financial instruments.

The client is warned that when providing the service of receiving and transferring and / or executing orders for the Client's account related to simple financial instruments (shares, bonds...) that are listed on regulated markets and MTF, and are provided at the Client's initiative, HITA-VRIJEDNOSNIC Inc. is it is not required to collect data, nor is it required to assess whether the same financial instruments and/or services are suitable for the Client, and that the Client therefore does not enjoy protection under the relevant rules of business conduct..

It is believed that a professional Client has the necessary knowledge and experience, and that can handle all the associated financial risks in accordance with the investment objectives. This questionnaire can be filled out electronically in accordance with the bylaws of the HITA-VRIJEDNOSNIC Inc.

By signing this Questionnaire, I confirm that the information provided in this Questionnaire is true, and I authorize the investment company HITA-VRIJEDNOSNIC Inc. to check all the information provided here.

I commit to inform HITA-VRIJEDNOSNICE Inc. if there are any changes related to previously reported data and details, especially residence address, e-mail address, identity card number/ passport number, IBAN, Politically Exposed Person status. By signing this Questionnaire, I give my explicit consent to the investment company HITA-VRIJEDNOSNIC Inc. to take all actions related to the processing and exchange of my personal data specified in this Questionnaire, including information about personal identification number - OIB, which includes the investment company's right to collect, store, record, organize, view and transfer personal data for the purpose of performing the regular business of the investment company, all in accordance with applicable regulations.

I agree that investment company may undertake all actions related to the processing and exchange of my personal information provided in this questionnaire, including information on the OIB, which covers the right of investment firms to collect, store, capture, organize and transfer of personal data for the purpose of conducting regular business of the investment company.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

**ATTACHMENTS:**

1. Copy of ID card/passport ● 2. A copy of the bank account card/ statement ● (3.) (Power of attorney – notary certified)

Signature of employee of investment company: \_\_\_\_\_ Organizational unit: \_\_\_\_\_

Comment: \_\_\_\_\_